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| Name of Policy | Higher Duties Allowance for Academic Staff Policy |
| Description of Policy | This Policy describes the application and payment of a higher duty allowance for Academic Staff. |
| Policy applies to | <input checked="" type="checkbox"/> University-wide <input type="checkbox"/> Specific (<i>outline location, campus, organisational unit, etc</i>) |
| | <input checked="" type="checkbox"/> Staff only <input type="checkbox"/> Students only <input type="checkbox"/> Staff and students |
| Policy status | <input type="checkbox"/> New policy <input checked="" type="checkbox"/> Revision of existing policy |

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| Approval authority | Vice-Chancellor and President |
| Governing authority | Chief Operating Officer |
| Responsible officer | Director, Human Resources |

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| Approval date | 1 April 2008 |
| Effective date | 1 April 2008 |
| Approval date of last revision | 22 August 2018 |
| Effective date of last revision | 22 August 2018 |
| Date of policy review* | April 2022 |

**unless otherwise indicated, this policy will still apply beyond the review date*

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| Related legislation, policies, procedures, guidelines and local protocols | ACU Capability Development Framework Academic Performance Matrices and Evidence Framework |
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1. Policy Purpose

The Higher Duties Allowance for Academic Staff Policy informs Academic Staff of the responsibilities and relevant higher duties allowances associated with school management roles, and is based on the application of guiding principles and how higher duties allowances for Academic Staff are determined.

2. Policy Statement

An Academic Staff member who is required to act in a position of higher classification than that which the staff member occupies, or who is assigned higher responsibilities or duties, shall be paid an allowance in accordance with this policy.

3. Application of the Policy

- 3.1 This policy applies to all continuing and fixed term Academic Staff.
- 3.2 If an Academic Staff member is assigned responsibilities or duties which, on the basis of the Minimum Standards for Academic Levels (MSAL's), warrant payment of a higher duties allowance, the staff member shall receive an allowance if the period during which the staff member performs those duties is more than 15 weeks.
- 3.3 If an Academic Staff member is assigned responsibilities or duties that, on the basis of the MSALs, do not warrant payment of a higher duties allowance, the staff member shall not receive an allowance unless otherwise determined by the authorised delegate.
- 3.4 The proportion of the allowance payable shall be equivalent to the proportion of higher duties being performed, as assessed by the staff member's nominated supervisor and approved by the authorised delegate. This is normally expressed as a percentage.

- 3.5 In assigning higher duties, consideration will be given to the necessary competencies and performance expectations, and to experience and equity.

Demonstration of performance and setting relevant performance objectives are expected to be aligned with the Academic Performance Matrices and Evidence (APME) Framework; in particular the Academic Performance Matrix for Academic Leadership/Service, which describes the performance standards at each academic level.

Demonstration of core competencies and behaviours and pursuit of professional development are expected to be aligned with the ACU Capability Development Framework as follows:

- CDF Achievement Level 3 – Executive Leadership: for National Head of School assignments.
- CDF Achievement Level 2 – Management: for the following assignments:
 - Associate Dean
 - State Head/Deputy Head of School
 - Course Coordinator
 - Lecturer in Charge

- 3.6 Higher duties shall include assignment of responsibilities as:

- Deputy Dean (normally assigned to a staff member at Level E);
- Associate Dean (normally assigned to a staff member at Level E);
- National Head of School (normally assigned to a staff member at Level E);
- State Head of School (normally assigned to a staff member at Level D or E);
- Deputy Head of School (normally assigned to a staff member at Level C and above);
- Course Coordinator (normally assigned to a staff member at Level C);
- Lecturer in Charge (normally assigned to a staff member at Academic Level B); and
- Other temporary duties, which on the basis of the MSAL's warrant payment at a higher level than the staff member's substantive level.

- 3.7 All higher duties allowances will attract University superannuation contributions.

- 3.8 Annual Leave Loading will be payable on the higher duties allowance rate where the allowance has been payable for a period of 12 consecutive months or more.

- 3.9 An Academic staff member may elect a higher duties allowance to be paid either as salary (on a fortnightly basis) or into a Professional Pursuits Account and managed in line with the Professional Pursuits Accounts Policy.

4. School Structure Principles and Allowances

4.1 The following guiding principles enable the determination of school management roles and higher duties allowance, taking into account the size and complexity of a school, leadership and representational responsibilities and staff supervision requirements.

4.1.1 **Deputy Dean** – For the assignment of Deputy Dean, the allowance will be \$27,500 per annum.

4.1.2 **Associate Dean** – For the assignment of Associate Dean, the allowance will be \$20,000 per annum.

4.1.3 **National Head of School** – For the assignment of National Head of School, the allowance will be:

- Range 1 - \$12,000 per annum;
- Range 2 – \$15,000 per annum;
- Range 3 - \$20,000 per annum;
- Range 4 - \$27,500 per annum.

The role of the **National Head of School** will encompass the following:

- Key leadership role within the Faculty reporting to the Executive Dean;
- Key representational and leadership role of the School in international and national settings – Committees (including EPG), Government (and associated Policy), Media, Registration Boards (national oversight);
- Key leadership of the governance and management of the School's courses and programs including course accreditation, quality assurance and national consistency;
- Key leadership role in implementing the Strategic Plan through the Faculty Plans;
- Key leadership for driving and enhancing the performance of Schools in relation to Learning and Teaching, Research and Research Training, and Community Engagement;
- Key leadership of the operational implementation of Learning and Teaching, Research, and People and Culture strategies;
- Key leadership of Workforce Planning including capability building across the School – leadership and performance capability, administrative and management expertise, succession planning, creating developmental opportunities, supporting promotional candidates for Promotion;
- Responsible for the coordination, consistency and comparability of the implementation of University policies, procedures, structures and processes and strengthening links

across all campuses;

- Responsible for the School budget and relevant financial and Human Resources delegation for the National School; and
- Responsible for people management in the context of University delegation.

The normal expectation for this leadership role is a substantive appointment at Level E.

4.14 **State Head of School** - For the assignment of State Head of School, the allowance will be:

- Range 1 - \$10,000 per annum;
- Range 2 – \$12,500 per annum;
- Range 3 - \$16,500 per annum;
- Range 4 - \$22,000 per annum.

The role of the **State Head of School** will encompass the following:

- Key leadership role within the Faculty reporting to and working with the National Head of School to ensure overall coherence of the state-based operation and national University priorities.
- Key representational and leadership role of the School in state-based settings responsible for local responsiveness to and with – relevant industry (CEO, Hospital Partnerships) local and state government and non-government organisations, state accreditation and/or registration, and relevant committees.
- Key leadership of the implementation of the School's courses and programs including contributing to course accreditation and quality assurance.
- Leads/contributes to the performance of the School in relation to Learning and Teaching, Research and Research Training, and Community Engagement in the context of organisational and Faculty strategies.
- Key leadership of laboratories/clinics/ professional practice units and other organizational structures and for the associated Work Health and Safety requirements.
- Formal supervision of the staff of the school who are located on their campus including building leadership and performance capability and administrative and management expertise.
- Contributes to the financial management of the National School through relevant financial delegation.
- Contributes to people management in the context of University delegation.

The guiding principles that enable the determination of a higher duties allowance for the **State Head of School** role are:

- Complexity of state-based policy/accreditation requiring significant and intensive State negotiations.

- A substantial number of enrolments and courses.
- Substantial (large) State based School eg (i) Nursing, Midwifery and Paramedicine and (ii) Education - Academic Staff (including sessional and casual staff).
- State Head designation recognises the very significant and complex arrangements in Education and Nursing.
- Schools - scale of EFTSL contributions, Staff EFT/supervision, complexity of policy/accreditation, placements and relationship management within jurisdictions (Qld, NSW/ACT and Victoria).

The normal expectation for this leadership role is a substantive appointment at Level D or E.

4.15 **Deputy Head of School** - For the assignment of Deputy Head of School, the allowance will be:

- Range 1 - \$7,500 per annum;
- Range 2 – \$10,000 per annum;
- Range 3 - \$12,500 per annum;
- Range 4 - \$16,500 per annum.

The role of the **Deputy Head of School** will encompass the following:

- Key leadership role within the Faculty reporting to the National Head of School or State Head as identified.
- Key representational and leadership role of the School in campus-based setting provides local responsiveness to and with – campus-based Committees, CEO, Hospital Partnerships, state accreditation and/or registration.
- Key leadership of the implementation of the School's courses and programs including contributing to course accreditation and quality assurance. Contributes to the performance of the School in relation to Learning and Teaching, Research and Research
- Training, and Community Engagement in the context of organisational and Faculty strategies.
- Key leadership of laboratories/clinics/studios/other organizational structures and for the associated Work
- Health and Safety requirements. Formal supervision of the staff of the school who are located on their campus (staff supervision will be divided between a NHOS or SH when on the same campus).
- Contributes to the financial management of the National School through relevant financial delegation.
- Contributes to people management in the context of University delegation.

The guiding principles that enable the determination of a higher duties allowance for the **Deputy Head of School** role are:

- i. Usually based at a different campus from the National Head of School.
- ii. Number of roles determined by scale of scale of EFTSL, Staff EFT/supervision, complexity of enrolments and courses on a campus.
- iii. A medium to large state-based School of Academic Staff (including sessional and casual staff).

The normal expectation for this leadership role is a substantive appointment at Level C and above.

4.2 Depending on the size, complexity, requirements for state-based accreditation, requirements for state/local partnerships/relationships, a National School Structure may have State Head(s) and/or Deputy Head(s) of School. Note State Heads of School are only identified for large schools with complex arrangements e.g. Education and Nursing, Midwifery and Paramedicine.

4.3 Where a National Head of School and Deputy Head of School exist on one campus, higher duties allowance will be reflective of this.

4.4 For each of the above roles a staff member is assigned an allowance depending upon size of School and complexity (number of courses, number of enrolments, number of campuses, requirements for accreditation/registration, management of relationships/partnerships, number of staff to supervise, undergraduate/postgraduate mix, cross-course faculty offerings, emerging disciplines and strategic initiatives).

4.5 In assisting in the determination of the size and complexity of a School, the following ranges will also be considered to identify the appropriate allowance:

| Range | EFTSL | Staffing FTE (Non-casual) |
|---------|------------|---------------------------|
| Range 1 | Up to 500 | Up to 25 |
| Range 2 | 300 - 1000 | 25 - 40 |
| Range 3 | >1000 | >40-50 |
| Range 4 | >1500 | >50 |

4.6 **Course Coordinator** - For the assignment of Course Coordinator, where the staff member is an Academic Level A or Academic Level B, the allowance will be \$4,400 per annum. The allowance is not applicable where the staff member is an Academic Level C and above.

4.7 **Lecturer in Charge** - For the assignment of Lecturer in Charge, where the staff member is Academic Level A, the allowance will be \$4,400 per annum. The allowance is not applicable where the staff member is an Academic Level B and above.

- 4.8 For other temporary assignments, the allowance shall be determined by the Provost. Higher duties (other than those listed above) which warrant payment of an allowance may be assigned, with the prior approval of the Provost on recommendation of the Executive Dean, following application by the relevant Head of School, for a period of at least 15 weeks and normally not more than 12 months. In special circumstances, where it is not appropriate to make an appointment at the higher level, the period of assignment of higher duties may be extended up to a total of 24 months only.
- 4.9 The allowances set out in section 5 above are not cumulative, and staff assigned more than one role will receive one rate of allowance at any one time.
- 4.10 Where the higher duties warranting payment of an allowance are temporarily assigned to a staff member in a relieving capacity (e.g. a state Head of School or Deputy Head of School as a result of a National Head of School being on an extended period of absence) for a period of at least 15 weeks, the higher duties allowance will cease to be paid to the staff member during the period that he/she is temporarily relieved of the higher duties.
- 4.11 A staff member who is in receipt of a higher duties allowance shall continue to progress incrementally through her/his substantive classification level subject to normal progression requirements.
- 4.12 Where the requirement to perform the duties warranting the higher duties allowance ceases, the allowance will also cease.

5. Approvals

The payment of a higher duty allowance is subject to the approval of the authorised delegate as outlined in the *Delegations of Authority Policy and Register*.

6. Procedures

The Human Resources Directorate will undertake the necessary administrative processing of a higher duty allowance upon receipt of advice from the relevant authorised delegate and election of payment mode by the staff member utilising a Higher Duties/Salary Flexibility (HDUTY.1) form on Staff Connect.

It is the responsibility of the nominated supervisor to monitor the staff member's performance of the activities for which payment of a higher duties allowance is made. The nominated supervisor is also responsible for ensuring that any variation to the approved arrangements is drawn to the attention of, and approved by the authorised delegate. In such circumstances, the Human Resources Directorate must also be advised of the changed arrangements once they are approved.

7. Revisions made to this Policy

| Date | Major, Minor or Editorial | Description |
|----------------|---------------------------|---|
| 11 May 2017 | Minor | Updated to reference the ACU Capability Development Framework. |
| 5 July 2018 | Editorial | New ACU branding, update of HR contact details and information on processing of HD allowance via Staff Connect. |
| 22 August 2018 | Major | Updated to reference that all higher duties allowances will attract University superannuation contributions. |

The University may make changes to this policy and procedures from time to time to improve the effectiveness of its operation. In this regard, any staff member who wishes to make any comments about this policy may forward their suggestions to Human Resources.

8. Further Assistance

Any staff member who requires assistance in understanding this policy should first consult their nominated supervisor who is responsible for the implementation and operation of these arrangements in their work area. Should further information or advice be required staff should visit [Service Central](#).